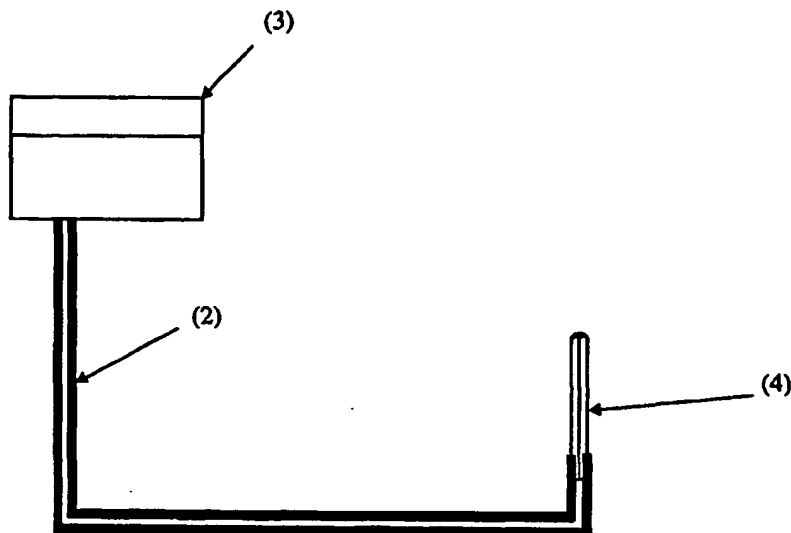




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : B01L 3/00	A1	(11) International Publication Number: WO 00/25921 (43) International Publication Date: 11 May 2000 (11.05.00)
(21) International Application Number: PCT/SE99/01958 (22) International Filing Date: 29 October 1999 (29.10.99) (30) Priority Data: 9803734-4 30 October 1998 (30.10.98) SE (71) Applicant (for all designated States except US): AMERSHAM PHARMACIA BIOTECH AB [SE/SE]; Björkgatan 30, S-751 84 Uppsala (SE). (72) Inventor; and (75) Inventor/Applicant (for US only): STJERNSTRÖM, Mårten [SE/SE]; Styrmansgatan 23, S-114 54 Stockholm (SE). (74) Agents: ROLLINS, Anthony, J. et al.; Nycomed Amersham plc, Amersham Labs, White Lion Road, Amersham, Bucks HP7 9LL (GB).		(81) Designated States: JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>

(54) Title: LIQUID MICROVOLUME HANDLING SYSTEM**(57) Abstract**

The present invention relates to a microfluidic device comprising a microchannel (2, 4) providing for solvent contact between an open microarea (MA) carrying a microvolume (1) of a solvent and a reservoir (3) for the solvent, said reservoir (3) and said microchannel (2, 4) being adapted so that solvent evaporated from said microarea (MA) is continuously replaced by solvent from the reservoir (3) through said microchannel (2, 4). It further relates to method for replacing solvents evaporating from a microvolume (1) of solvent placed in an open microarea (MA) of a microfluidic device, wherein replacement is continuously taking place via a microchannel (2, 4) that transports solvent to the microarea (MA) from a solvent reservoir (vessel) (3). The device and method are suitable for preventing the desiccation of samples.

BEST AVAILABLE COPY

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Larvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

LIQUID MICROVOLUME HANDLING SYSTEM

TECHNICAL FIELD

5 The present invention relates to microfluidic devices comprising microchannels, and to methods for replacing solvent amounts that evaporate from open microareas carrying microvolumes of the solvent. The present invention also relates to the use of the method for replacing solvent in a method for preventing the desiccation of samples. The microvolume of solvent may be in the form of a droplet (microdrop).

10

BACKGROUND ART

Microvolume handling systems have attracted a considerable interest in biochemical analysis, combinatorial chemistry and high throughput screening (HTS) applications. The miniaturised format is compatible in size with many interesting
15 issues of bioanalytical work, such as single cell analysis, when material is available only in extremely limited amounts. Furthermore, by decreasing the volume, an enhanced efficiency in terms of a higher rate of mixing and/or chemical reaction can be expected in the sample container, since the effect of diffusion and thermal
20 convection is more pronounced on a smaller scale.

In HTS applications, goals are currently set on screening more than 10^5 compounds in a single assay. To manage such a tremendous number of samples with reasonable space, cost and time requirements, the miniaturised microtitre plate
25 format has been developed. Based on micromachining of different materials, e.g., by anisotropically etching single crystalline silicon wafers, well-defined picolitre to nanolitre vials are readily fabricated (Jansson et al. (1992) J. Chromatography 626, 310-314; Beyer Hietpas et al. (1995) J. Liq. Chromatography 18, 3557-3576). Biomolecules such as DNA and proteins have been assayed in the microvial format
30 utilising capillary electrophoresis (Jansson et al. *supra*; Beyer Hietpas et al., *supra*), bioluminescence (Crofcheck et al. (1997) Anal. Chem. 69, 4768-4772), electrochemical analysis (Clark et al. (1997) Anal. Chem. 69, 259-263; Clark et al. (1998) Anal. Chem. 70, 1119-1125) and mass spectrometry (Jespersen et al. (1994) J. Rapid Comm. in Mass Spectrom. 8, 581-584).

However, the rate of solvent evaporation is particularly pronounced for microvolumes, for instance small droplets, since the surface-to-volume ratio increases when the drop diameter decreases. The most common way for avoiding desiccation is by covering the containers with a material non-permeable for the underlying solvent. However, covers, either liquid or solid, inherently have the potential to introduce interfering compounds, or to alter equilibriums, that can seriously damage sensitive chemical systems. Furthermore, practical problems may arise from small droplets sticking to a solid cover.

An alternative is to diminish the solvent loss by controlling the environment in humidified chambers and by dispensing compensating solvent into the microvials *via* fine capillaries from above (Roeraade et al. (1996) Analytical Methods and Instrumentation. Special issue μ TAS'96 (1996), pp. 34-38). However, this technique can be ineffective over prolonged time periods and is subject to many practical problems associated with the restricted accessibility to the vials through the environmental chamber. Furthermore, since the solvent compensating capillaries block the space in close proximity to the microvials, accessing or detecting the material becomes increasingly more complex as the assay becomes larger.

There is a need for microfluidic devices including a system for handling small volumetric amounts of liquid which avoids the above discussed drawbacks and allows for free access to the contained material, thus facilitating chemical manipulation of the liquid or the gaseous headspace environment and for monitoring of reaction products.

A device having the features of claim 1 and a method having the features of claim 6 fulfill this need.

BRIEF DESCRIPTION OF THE DRAWINGS

Examples of embodiments of the present invention are illustrated in the accompanying figures, where:

Figure 1 is a schematic view of a first embodiment of a system in accordance with the present invention for containing small amounts of material in a droplet on top of the orifice of a microchannel;

- 5 Figure 2 is an enlarged view of the top of the capillary in Figure 1 illustrating a droplet;

Figure 3 illustrates the three different possible shapes of the liquid-gaseous interface;

10

Figure 4 illustrates a top of an embodiment of a microchannel in accordance with the present invention with a droplet and sample components immobilised on the microchannel rim;

- 15 Figure 5 illustrates a circular array of fabricated holes containing microdrops in accordance with other embodiment of the present invention;

Figure 6 is a sectional view of Figure 4 illustrating a solvent container in accordance with an embodiment of the present invention;

20

Figure 7 is a schematic view of a rectangular array in accordance with the present invention;

Figure 8 is a sectional view of the array of Figure 7.

25

DISCLOSURE OF THE INVENTION

The present invention provides a method for replacing solvent that is evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic
30 device. The method has the characterising feature that replacement takes place continuously via a microchannel (2) that transports liquid to the microarea (MA) from a liquid reservoir (vessel). The method is particularly useful in the context of running reactions in the solvent present on the microarea (MA) in order to assay an analyte, for the synthesis of chemical compounds etc. The reactants used, including an

analyte and/or various reagents, may be soluble in the microvolume or immobilised to a solid support in contact with the microvolume. The microarea (MA) may be the orifice region of the microchannel and the microvolume in the form of a microdrop (1), as shown in Figure 2. By continuously replacing the evaporated solvent via a
5 conduit (2) with solvent from a communicating vessel (3) the reactants present in the microvolume are prevented from being desiccated. The sample is focused in the microvolume as long as the evaporation rate of the solvent is higher than the sample diffusion rate. It should be noted that the solvent compensating principle is generally applicable to minute volumes, thus the liquid-gaseous interface may
10 appear in any of the different shapes illustrated in Figures 3 a)-c). In the case of droplets shown figure 3 a), they can be formed by applying an overpressure to the solvent supplying tubing. This causes the droplet size to be determined by the diameter of the capillary orifice, the interfacial tension, the wettability of the capillary material and the magnitude of the applied overpressure (which needs to be in
15 equilibrium with the interfacial pressure difference across the curved surface of the droplet). The microarea (MA) can be located either, as illustrated in Figure 1, on top of a single capillary (4), or as shown in Figures 5 – 8, as an array of microareas carrying liquid in the form of drops (6) or liquid in the form of other physical microappearances (9) (e.g. surfaces of the type shown in figures 3 b)-c)) formed on
20 top of an array of fabricated holes (7) each supplied from a common solvent container (8). In the case of droplets, the overpressure needed can be created by any means of pressure generation, e.g. from a hydrostatic head, a micropump or a pressurised container.

25 The open geometry in this invention, with microareas carrying analyte- and/or reagent-containing solvent in direct contact with the surrounding gaseous phase, is favourable with respect to easy accessibility. For example, wet-chemical reactions can easily be performed with sample components contained in the surface layers, using reagents dispensed from external means directly to the microvolume of liquid
30 placed in the microarea, for instance from ink-jet dispensers or fine pipettes. Furthermore, detection of analytes or reaction products can readily be made by using optical detectors, such as CCD-cameras. Moreover, the equilibrium between the solvent on the microarea and the surrounding gaseous phase could be

exploited for passive sampling of air-born constituents over prolonged time periods, thus enabling subsequent environmental analysis.

The solvents contemplated are often aqueous, i.e. consists of water, possibly mixed
5 with one or more water-miscible liquids, such as acetone, methanol, ethanol and isopropanol. This does not exclude the use of other solvents in the invention.

A second aspect of the invention relates to a microfluidic device comprising a microchannel providing for liquid contact between an open microarea carrying a
10 microvolume of a solvent and a reservoir for the solvent, said reservoir and said microchannel being adapted so that solvent evaporated from said microarea is continuously replaced by solvent from the reservoir through said microchannel. When in use the microvolume of solvent typically contains an analyte and/or one or more reagents for assaying the analyte either directly or indirectly, for running
15 synthesis of a compound etc. By the term "indirectly" is contemplated that a feature or an amount of a reaction product related to the analyte is assayed.

In order to avoid the risk of desiccation of the microareas over prolonged time periods, the supplying solvent vessel should contain a solvent volume one, two
20 three or more orders of magnitude larger than the sum of all microvolumes communicating with the reservoir.

The term "microvolume" means a volume that typically is at most around 10 μl , such as $\leq 1 \mu\text{l}$. The lower end of the range extends down to the infinitesimal volume that
25 is present in the gaseous-liquid interface of the microvolume of the solvent.

Typically the microvolume is $\geq 10^{-15}$ l (femtolitre). It will be understood, however, that the described principles may be applicable also to microvolumes being larger than 10 μl . By "microfluidic device" is meant a device that can handle microvolumes, for example a volume that is less than 1 μl , preferably between 1
30 and 10 nl, of reagents that may be introduced into the device.

A microarea may have different forms that vary from being an essentially flat form via cup-formed areas to being walls of open chambers, the important matter being that the area is able to carry the microvolume of liquid contemplated.

Microchannels typically have the ability to act as capillaries. Normally their size in the dimension (i.e. height, width or length) in which they are smallest is less than 2000 μm , such as $\leq 500 \mu\text{m}$. Typically this dimension is $\geq 1 \mu\text{m}$. A microchannel
5 may be in form of a tube that may have a circular, a rectangular etc cross sectional area. They may also be "sheet"-like covering larger areas.

The reagents included in or in contact with the microvolume of solvent vary depending on the reaction to be run. The reagents include catalysts, for instance,
10 an enzyme, compounds needed for the synthesis of nucleic acids, affinity reactants, etc. The term also includes biological systems, such as enzymatic systems and whole cells. Affinity reactants typically form non-covalent complexes and may be illustrated by biotin, streptavidin, protein A, antibodies, lectins, hormone receptors, nucleic acids, peptides and polypeptides. Typical assays are immunoassays,
15 sequenceing of nucleic acids and of peptides, hybridisation assays, detection of mutations, cell assays, etc.

In one embodiment of the invention, one or more of the reagents used are immobilised in the microarea (MA). This alternative configuration is illustrated in
20 Figure 4, where reagents (11) are immobilised on the rim (5) of a microchannel, allowing washing steps to be performed by overflowing the microchannel. Immobilisation may be achieved via covalent bonds, affinity bonds, physical adsorption etc. Typical affinity bonds are those formed by having strepavidin or a high affinity antibody bound to a solid support in the microarea (MA) and then
25 binding a desired reagent conjugated with biotin or with the hapten against which the antibody is specific to the solid support bound strepavidin/high affinity antibody.

The method for replacing solvents can be used in a method to prevent samples from becoming desiccated. One example of a method for achieving this comprises
30 the following steps:
providing a microarea for receiving a sample;
connecting the microarea (preferably via a microchannel) to a reservoir of solvent;
applying the sample to the microarea;

allowing solvent to evaporate from said microarea; and
continuously replacing said evaporated solvent with solvent from said reservoir.

In this example, it is preferable that the diffusion rate of the sample in the solvent
5 is less than the flow rate of solvent from the reservoir so that the sample does
not diffuse away from the microarea.

A second example of a method for preventing samples becoming desiccated
comprises the additional step of:

10 anchoring the sample to the microarea.

In this example, the flow rate of solvent from the reservoir may be less than the
diffusion rate of the sample in the solvent once the sample is firmly attached to the
microarea and is unable to diffuse away.

15

The sample can be applied to the microarea by dispensing from above, for
example by dropping into the microarea a drop of solvent containing the sample,
or from below, for example by injecting the sample into the microchannel
between the reservoir and the microarea and allowing the flow of solvent to bring
20 the sample to the micro area.

The microfluidic device according to the invention can suitably be fabricated in the
form of a circular (Figure 5 and 6) or rectangular array format (Figure 7 and 8),
although any other shape is also conceivable.

25

A circular format means that there are one or more microareas (chambers) that are
placed radially and in different directions from a centre. The distance from the
centre to individual microareas (chambers) may be equal or different. The reservoir
is preferably in the centre. The microchannels may be radially directed from the
30 centre and communicate with one or more microareas. The microchannels may
also be in the form of a common, flat-like microchannel or reservoir beneath the
microareas (chambers) and communicating upwardly via traditional microchannels.

In rectangular formats there are microareas (chambers) that form a rectangular pattern. The microchannel arrangement may be in analogy with the circular format.

Microfluidic devices in the form of rotatable discs are known in the art. WO 97/21090 discloses a microanalytical / microsynthetic system for biological and chemical analysis, comprising a rotatable microplatform, e.g. a disc, having inlet ports, microchannels, detection chambers (microareas) and outlet ports through which fluid may flow. Preferably, a circular array comprises a disc and a plurality of microchannels (see Figure 5 and 6), each microchannel being radially dispersed about the centre of the said rotatable disc. The rotatable disc is adapted for rotation about its axis. Such adaptation may take the form of a hole at the axis of one or both substrates which is capable of engaging a drive shaft. Other methods of rotating the disc include clamping the disc and contacting the perimeter with a moving surface, for example moving wheels, or placing the disc on a turntable and spinning the turntable. Preferably the disc comprises a solvent inlet port located towards the centre of the disc and connected to radially dispersed microchannels, each microchannel having a sample reservoir located at the microchannel orifice that is located outward from the centre of the disc.

The configuration of the microchannels in the rectangular or circular format may be chosen to allow for application of a chemical compound, or a suspension of cells, to the sample reservoir filled with fluid medium.

The microfluidic device may also comprise a separate microchannel system for transporting one or more of the reactants needed to the microareas.

Suitably the circular or rectangular array format is a one- or two-piece construction assembled together to provide a closed structure with openings at defined positions to allow loading of the device with liquids and removal of waste liquids. In the simplest form, see, for example, figures 6-7, the disc or wafer is produced as two complementary parts (12), (13), one or each carrying channel structures which, when affixed together, form a series of interconnected structures within the body of a solid disc or wafer. The microchannels may be formed by micro-machining methods in which the channels and chambers are micro-machined into the surface

of a disc or wafer, and a cover, for example a plastic film, is adhered to the surface so as to enclose the channels and chambers.

Suitable glass or polymeric materials can be additionally selectively modified by
5 chemical or physical means to alter the surface properties to confer a desired property, e.g. compatibility with cell growth, cell attachment and the attachment of biomolecules by covalent or non-covalent means.

Based on knowledge at the priority date, the variant given in figures 1 and 2
10 corresponds to the best mode in October 1998.

CLAIMS

1. A microfluidic device comprising a microchannel (2) (4), providing for liquid contact between an open microarea (MA) or chamber carrying a microvolume (1) of a solvent and a reservoir (3); (8) for the solvent, said reservoir (3); (8) and said microchannel (2), (4) being adapted so that solvent evaporated from said microarea (MA) is continuously replaced by solvent from the reservoir (3); (8) through said microchannel (2), (4).
2. The microfluidic device according to claim 1 wherein
 - a) said reservoir (3); (8) is positioned so as to create an overpressure in the solvent which is in equilibrium with the interfacial pressure difference across the curved surface of the droplet, or
 - b) said reservoir (3); (8) is connected to pump means that either facilitate replacement of solvent by pumping solvent or pressurising the reservoir (3); (8).
3. The microfluidic device according to anyone of claims 1-2 comprising a plurality of microchannels (3); (8) and open chambers forming an array in the circular or rectangular format.
4. The microfluidic device according to anyone of claims 1-3, wherein the microvolume contains one or more reactants that are soluble in the solvent or bound to a solid support in contact with the microvolume.
5. The microfluidic device according to claim 4 wherein at least one of said one or more reactants is an affinity reactant, for instance selected from nucleic acids, peptides, proteins.
6. A method for replacing solvents evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device, characterised in that that replacement is continuously taking place via a microchannel (2), (4) that transports liquid to the microarea (MA) from a liquid reservoir (vessel) (3); (8).

7. The method of claim 6, characterised in that the microarea (MA), microchannel (2), (4) and reservoir are parts of the microfluid device defined in claims 1-5.

8. Method for replacing solvents for preventing samples from becoming desiccated

5 characterised in that it comprises the following steps:

providing a microarea (MA) for receiving a sample;

connecting the microarea (MA) to a reservoir (3); (8) of solvent;

applying the sample to the microarea (MA);

allowing solvent to evaporate from said microarea (MA); and

10 continuously replacing said evaporated solvent with solvent from said reservoir (3); (8).

9. Method in accordance with claim 8 characterised in that it comprises the additional step of:

15 anchoring the sample to the microarea (MA).

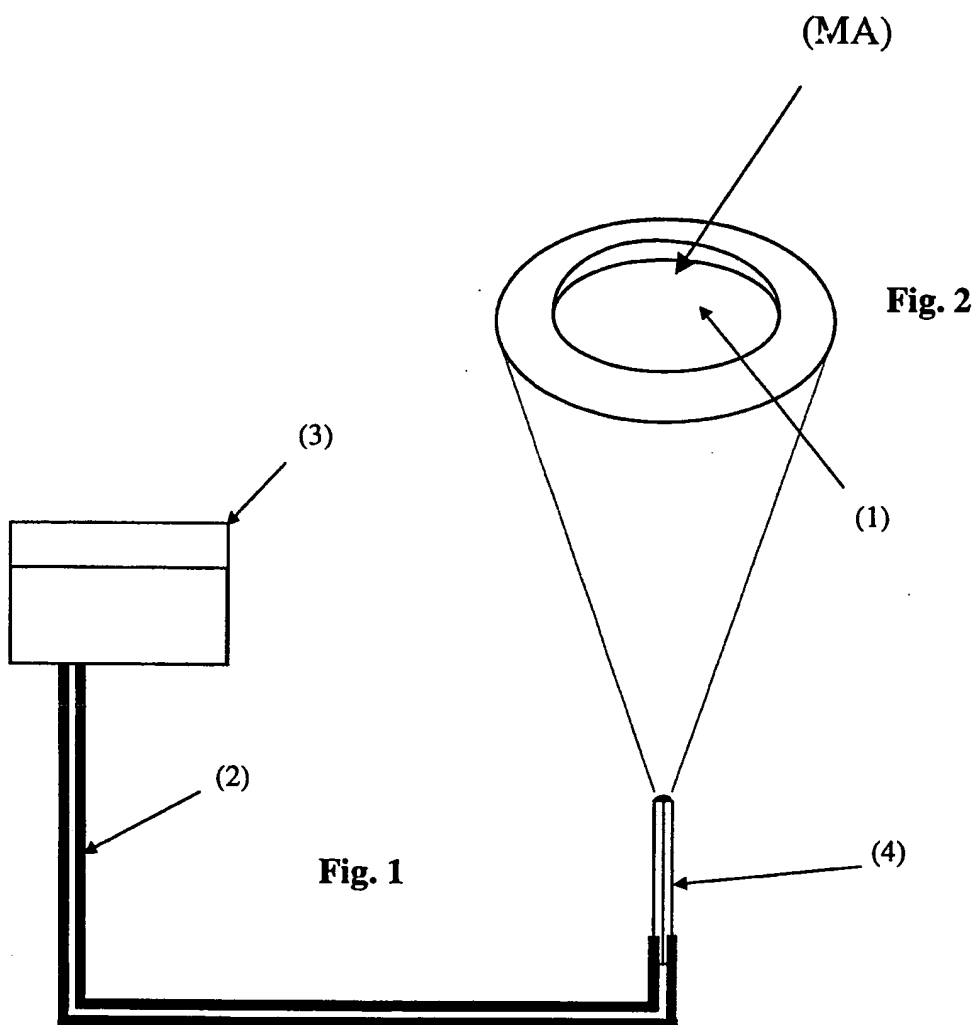
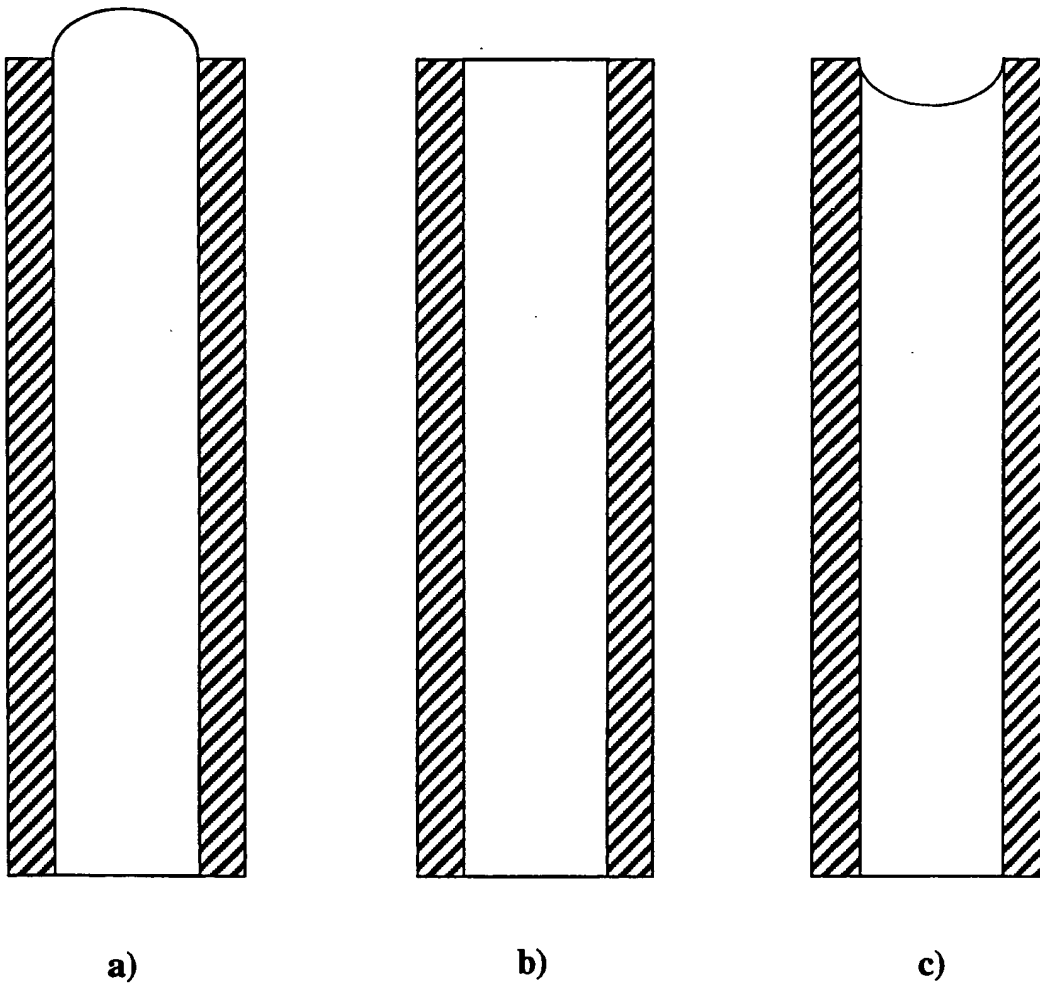
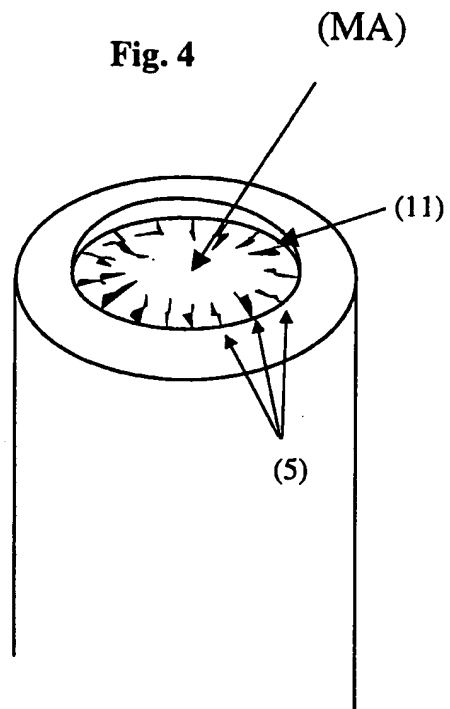


Fig. 3



3/5



4/5

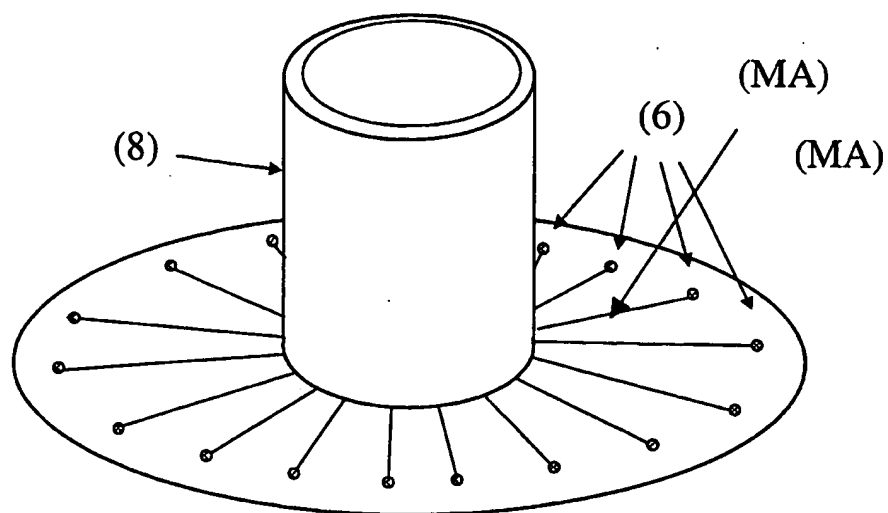
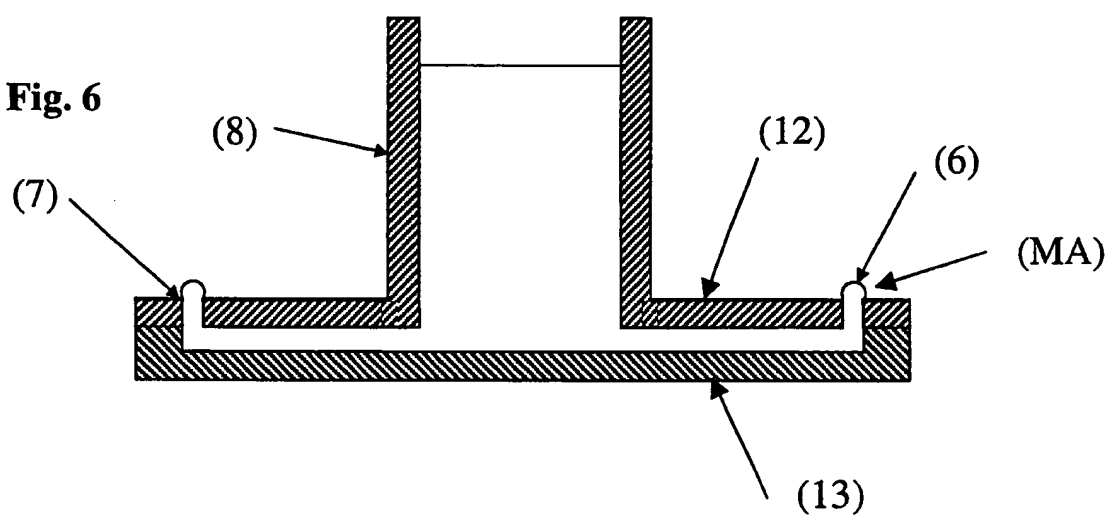
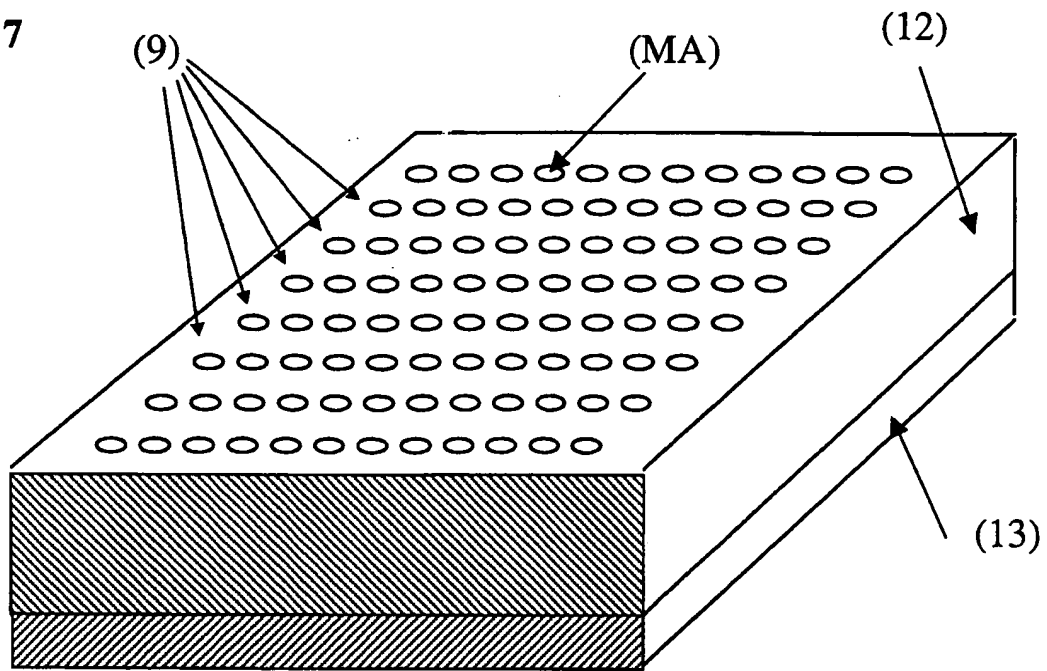
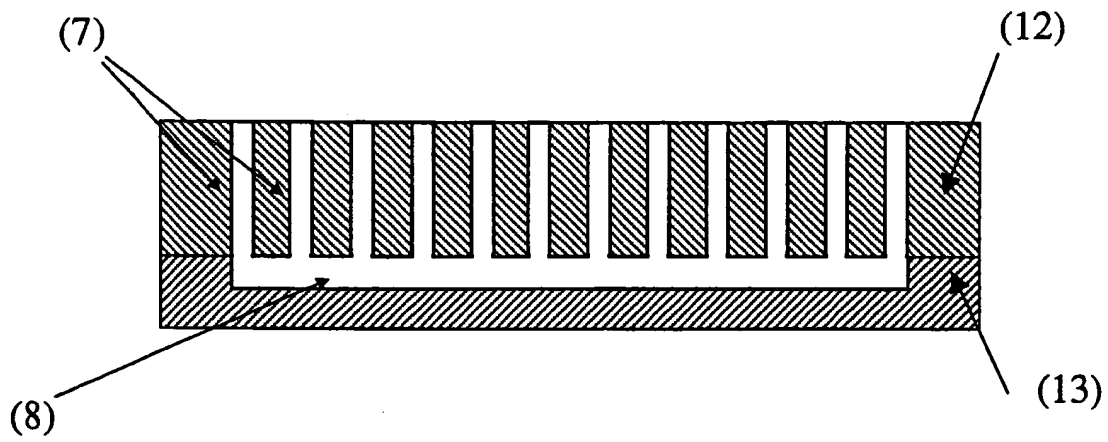
Fig. 5**Fig. 6**

Fig. 7**Fig. 8**

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/01958

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01L 3/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: B01L, G01N, C12Q, B01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0305210 A2 (BIOTRACK, INC.), 1 March 1989 (01.03.89), figure 13 --	1-9
A	US 5783148 A (HUGH V. COTTINGHAM ET AL), 21 July 1998 (21.07.98), abstract --	1-9
A	WO 9855852 A1 (CALIPER TECHNOLOGIES CORP.), 10 December 1998 (10.12.98), figure 3 --	1-9
A	EP 0430248 A2 (MOCHIDA PHARMACEUTICAL CO., LTD.), 5 June 1991 (05.06.91), abstract -- -----	1-9

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

24 January 2000

Date of mailing of the international search report

17-02-2000

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Mats Raidla/ELY

Telephone No. +46 8 782 25 00

INTERNATIONAL SEARCH REPORT
Information on patent family members

02/12/99

International application No.

PCT/SE 99/01958

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0305210 A2	01/03/89	SE 0305210 T3	
		AT 98372 T	15/12/93
		AU 615208 B	26/09/91
		AU 2163988 A	02/03/89
		CA 1333850 A	10/01/95
		DE 3886140 D,T	19/05/94
		ES 2049254 T	16/04/94
		JP 1257268 A	13/10/89
		JP 2036928 C	28/03/96
		JP 7056492 B	14/06/95
		US 4868129 A	19/09/89
		US 4946795 A	07/08/90
		US 5077017 A	31/12/91

US 5783148 A	21/07/98	AU 689187 B	26/03/98
		AU 1352595 A	21/09/95
		BR 9501042 A	31/10/95
		CA 2143365 A	15/09/95
		EP 0674009 A	27/09/95
		JP 2675989 B	12/11/97
		JP 7265100 A	17/10/95
		SG 30306 A	01/06/96
		US 5725831 A	10/03/98

WO 9855852 A1	10/12/98	AU 7820798 A	21/12/98

EP 0430248 A2	05/06/91	AU 642444 B	21/10/93
		AU 6702690 A	06/06/91
		CA 2031001 A	31/05/91
		JP 3223674 A	02/10/91
		US 5147607 A	15/09/92

BEST AVAILABLE COPY